

#### **Administration for Children and Families**

Office of Refugee Resettlement

Preferred Communities Program HHS-2011-ACF-ORR-RP-0180 Application Due Date: 03/12/2012

**Due Date for Applications:** 

FY 2011: 06/06/2011

FY 2012: 03/12/2012

FY 2013: 03/01/2013

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Program Office: Office of Refugee Resettlement
Funding Opportunity Title: Preferred Communities Program

**Announcement Type:** Modification

**Funding Opportunity Number:** HHS-2011-ACF-ORR-RP-0180

CFDA Number: 93.576

#### **Due Date for Applications:**

FY 2011: 06/06/2011 FY 2012: 03/12/2012 FY 2013: 03/01/2013

Notice: On January 1, 2012, the Administration for Children and Families implemented required electronic application submission via <a href="www.Grants.gov">www.Grants.gov</a> for discretionary grant applications. (76 Fed. Reg. 66721-66723, October 27, 2011, <a href="www.Grants.gov">New Policies and Procedural Requirements for the Electronic Submission of Discretionary Grant Applications.</a>) Please see Section III.3. Disqualification Factors, Section IV.2. Content and Form of Application Submission and Application Submission Options, and Section IV.3. Explanation of Due Dates and Times for information on electronic application submission and the availability of exemptions allowing applicants to submit applications in paper format.

#### **Executive Summary:**

The Office of Refugee Resettlement (ORR) invites the submission of applications for funding, on a competitive basis, for the Preferred Communities Program to support the resettlement of newly arriving refugees in Preferred Communities where they have ample opportunities for early employment and sustained economic independence and, to address special populations who need intensive case management, culturally and linguistically appropriate linkages to services. This announcement replaces the Standing Announcement for the Preferred Communities Program (HHS-2008-ACF-ORR-RP-0112).

The Director will observe June 6, 2011, as the first closing date for applications. Thereafter, the Director will observe March 12, 2012, and March 1, 2013, as the closing dates for applications. An applicant may only submit one application under this announcement.

Funding under this announcement is provided through *cooperative agreements* requiring ORR's involvement in the program's implementation.

ORR will consider subsequent applications for the continuation of the cooperative agreements funded under these awards for the 36-month project period on a noncompetitive basis, subject to the availability of funds, satisfactory progress of the agency, and a determination that continued funding would be in the best interest of the Federal Government.

Competition is limited to national voluntary agencies that are authorized to resettle refugees under

cooperative agreements with the Department of State and with the Department of Homeland Security.

Eligible applicants are:

Church World Service/Immigration and Refugee Program, New York, NY; Domestic and Foreign Missionary Society of the Protestant Episcopal Church of the U.S.A., New York, NY; Ethiopian Community Development Council, Inc./Refugee Resettlement Program, Arlington, VA; HIAS, Inc. (Hebrew Immigrant Aid Society)/Refugee and Immigrant Services, New York, NY; International Rescue Committee/Resettlement, New York, NY; Lutheran Immigration and Refugee Service, Baltimore, MD; U.S. Conference of Catholic Bishops, Washington, DC; U.S. Committee for Refugees and Immigrants, Arlington, VA; and World Relief Corporation of National Association of Evangelicals/Refugee & Immigration Programs, Baltimore, MD.

#### I. Funding Opportunity Description

#### **Statutory Authority**

This program is authorized by section 412 (c)(1)(A) of the Immigration and Nationality Act (INA)(8 U.S.C.§1522 (c)(1)(A)), as amended, which authorizes the Director "to make grants to, and enter into contracts with, public or private nonprofit agencies for projects specifically designed- (i) to assist refugees in obtaining the skills which are necessary for economic self-sufficiency, including projects for job training, employment services, day care, professional refresher training, and other recertification services; (ii) to provide training in English where necessary (regardless of whether the refugees are employed or receiving cash or other assistance); and (iii) to provide where specific needs have been shown and recognized by the Director, health (including mental health) services, social services, educational and other services."

#### **Description**

#### **Purpose**

The purpose of the Preferred Communities Program is as follows:

- to support resettlement of newly arriving refugees with the best opportunities for their self-sufficiency and integration into new communities;
- to support the development of the national voluntary agencies' capacity to address refugee cases with special or unique needs that require more intensive case management; and
- to develop new capacity and provide resources for national voluntary agencies to cover the costs of changing community placements so that refugees, including those with special or unique needs, are placed in a particular site where they will have the best chance for integration.

Applicants may apply to support resettlement in new communities targeted to geographic sites or special populations agreed to in consultation with the Department of State/Bureau of Population, Refugees and Migration (PRM) and the Office of Refugee Resettlement (ORR).

Preferred Communities are proposed or selected localities that have excellent opportunities for newly arriving refugees to achieve early employment and sustained economic independence without public assistance. Preferred Communities should have a history of low welfare utilization by refugees. In addition, refugees should have the potential for earned income at a favorable level relative to the cost of living and to public assistance benefits. Characteristics of these communities include: (1) A moderate cost of living; (2) excellent employment opportunities in a strong, entry-level labor market; (3) affordable housing and transportation accessible for employment; (4) low secondary out-migration rates for refugees; (5) communities that meet the religious needs of arriving populations; (6) local community support and positive reception for the refugees; (7) receptive school environments; and (8) other related community features that contribute to a favorable quality of life for arriving refugees, such as excellent medical

facilities.

Examples of special populations needing intensive case management may include, at a minimum, youth and young adults without parents or permanent guardians who have spent an unusually long period under refugee camp conditions; refugees experiencing social or psychological conditions, including emotional trauma resulting from war; Lesbian, Gay, Bi-sexual, and Transgender (LGBT) refugees; refugees who are HIV-positive; or other populations with physical disabilities or medical conditions identified and determined by PRM and ORR as needing intensive case management. Culturally and linguistically appropriate linkages and coordination with other service providers are necessary to improve access to services and enhance the likelihood of their integration into new communities.

To achieve the objective of improved opportunities for integration and self-sufficiency, the applicant should propose communities that have been approved by PRM in the Reception and Placement Cooperative Agreement. Communities should not have had large numbers of recent arrivals, but the prospects for resettlement appear to be favorable for additional refugees. The selected sites may be those with a history of successful refugee placement or those where refugees have not previously been placed, but which have all the elements of a successful refugee resettlement community (as described above). ORR will give priority to those organizations focused on developing capacity for special needs cases such as: medical and mental health; Lesbian, Gay, Bi-sexual, and Transgender (LGBT); HIV-positive; and other vulnerable populations.

To support resettlement of refugees in communities where they will have the best opportunities for integration and to provide support for populations who have special needs, successful applicants may propose additional or alternative communities in consultation with PRM and ORR. ORR will grant approval for these sites following the consultation. With these funds, successful applicants will propose services that need to be enhanced or increased in light of arriving populations.

The application must for the first budget period specify one or more sites with a description of each site and the rationale for its selection, or describe a population with special needs requiring more intensive case management in a particular site. Applicants are encouraged to include activities that assess and plan services for the target populations to be resettled. For Preferred Community sites, such activities would also assess each specified community's appropriateness for additional arriving refugees and, if needed, continue to search for additional communities for future preferred placement. Additional sites and refugee populations with special conditions may be added by submitting a revised plan with the site descriptions in the continuation application.

Applicant will demonstrate consultation with the State Refugee Coordinator and other national voluntary agencies and local resettlement agencies in planning and coordination of services at the preferred site.

ORR formula social service funds are awarded to States to provide services proportionate to the number of refugee arrivals during the previous 2 years. A year or more may lapse before newly arriving refugees are included in the formula count. To maintain working relationships and coordination with State governments, planning for the application and implementation of Preferred Communities projects should be done in consultation with the respective State Refugee Coordinator and documented to ensure an orderly transition and complement of services until the proportion of new arrivals is accounted for in the ORR formula awards. Applicants should view the Preferred Communities Program as a temporary solution to cover the costs of increased refugee placements, as a mechanism to build infrastructure to facilitate increased refugee placements, and as an enhancement of their ability to provide specialized services to vulnerable populations. Applicants should describe their coordination and planning under the Approach review criteria.

In the last Program Performance Report, applicants will discuss the transition of services indicating whether the services are now supported by the State or Wilson/Fish project, other public or private resources, or are no longer needed. This report must provide supporting information on the impact of the services on the target population.

#### Allowable Activities

Allowable activities for local affiliates include social services needed to achieve increased placements in the Preferred Communities. Allowable activities for the national voluntary agencies are those that assess the appropriateness of resettlement communities for targeted refugees. The result of the assessment should ensure that the designated service providers in the Preferred Communities provide services that create excellent opportunities to integrate the targeted groups of refugees and special populations.

As part of the application preparation, the applicant must: (1) Consult with ORR about prospective preferred sites and the appropriateness of those sites for the refugees; (2) coordinate with their affiliates and other voluntary agencies whose local affiliates place refugees in the same sites; (3) inform in writing and coordinate with State governments for site selection, adequate services, and program strategies to be developed; and (4) plan and coordinate locally with existing community resources, such as schools and public health agencies. In all instances, activities must be designed to supplement, rather than to supplant, the existing array of services available in the community for which refugees are eligible.

Activities should focus on services needed for special populations including intensive case management; special medical care; physical therapy for disabled refugees; independent living skills; facilitating options for housing; social skills; and mental health services, such as coping with the traumatic experiences of war.

Applications under this Funding Opportunity Announcement (FOA) should indicate how the applicant will ensure that services are culturally and linguistically appropriate.

The Director may implement additional closing dates, if necessary, to respond to the needs of an imminently arriving refugee population. The announcement of additional closing dates will be made through a published modification to this announcement that will be posted to the ACF Grant Opportunities web page at <a href="http://www.acf.hhs.gov/grants/index.html">http://www.acf.hhs.gov/grants/index.html</a> and at <a href="http://www.grants.gov">www.grants.gov</a>.

#### II. Award Information

Funding Instrument Type: Cooperative Agreement

Estimated Total Funding: \$2,000,000

Expected Number of Awards: 9

Award Ceiling: \$225,000 Per Budget Period Award Floor: \$100,000 Per Budget Period Average Projected Award Amount: \$222,222 Per Budget Period

#### **Length of Project Periods:**

36-month project with three 12-month budget periods

#### **Additional Information on Awards:**

Awards made under this announcement are subject to the availability of Federal funds.

#### Description of ACF's Anticipated Substantial Involvement Under the Cooperative Agreement

#### **ORR** Responsibilities

- After consultation with the PRM, ORR will work with the grantee(s) as needed in the development of new sites and the enhancement of existing sites.
- ORR will work with the grantee(s) to encourage the development of new preferred sites that consider placement capacity and job opportunities to assist arriving populations, including those with special needs.
- After providing approval, ORR will provide funds to support the grantee(s)' activities as described in

- the application. In the alternative, ORR and the grantee(s) will discuss the grantee(s)' activities and agree upon activities to be funded.
- Annually and as necessary, ORR and the grantee(s) will review priorities, arrival patterns, and secondary migration of refugees focusing on preferred sites for resettlement.

Please see Section IV.5 Funding Restrictions for limitations on the use of grant funds awarded under this announcement.

#### III. Eligibility Information

#### III.1. Eligible Applicants

Competition is limited to national voluntary agencies that are authorized to resettle refugees under *cooperative agreements* with the Department of State and with the Department of Homeland Security.

Eligible applicants are:

Church World Service/Immigration and Refugee Program, New York, NY; Domestic and Foreign Missionary Society of the Protestant Episcopal Church of the U.S.A., New York, NY; Ethiopian Community Development Council, Inc./Refugee Resettlement Program, Arlington, VA; HIAS, Inc. (Hebrew Immigrant Aid Society)/Refugee and Immigrant Services, New York, NY; International Rescue Committee/Resettlement, New York, NY; Lutheran Immigration and Refugee Service, Baltimore, MD; U.S. Conference of Catholic Bishops, Washington, DC; U.S. Committee for Refugees and Immigrants, Arlington, VA; and World Relief Corporation of National Association of Evangelicals/Refugee & Immigration Programs, Baltimore, MD.

Individuals, foreign entities, and sole proprietorship organizations are not eligible to compete for, or receive, awards under this announcement. See *Section III.3*. *Other*.

#### III.2. Cost Sharing or Matching

Cost Sharing / Matching Requirement: No

#### III.3. Other

#### **ORR Refugee Eligibility**

Individuals with the following immigration statuses are eligible for refugee social services: (1) refugees; (2) asylees; (3) Iraqi and Afghan Special Immigrants; (4) Cuban and Haitian entrants; (5) certain Amerasians from Vietnam; and (6) victims of a severe form of trafficking who receive certification or eligibility letters from ORR, and certain other specified family members of trafficking victims." For details about these statuses, see 45 CFR 400.43, ORR State Letter #00-17 and ORR State Letter #0-13, as modified by State Letter #02-01, ORR State Letter # 10-02, and ORR State Letter #04-12, at <a href="www.acf.hhs.gov/programs/orr">www.acf.hhs.gov/programs/orr</a> For convenience, the term "refugee" is used in this notice to encompass all such eligible persons.

#### DUNS Number (Universal Identifier) and Central Contractor Registration (CCR) Requirements

#### **DUNS Number Requirement**

Data Universal Numbering System (DUNS) Number is the nine-digit, or thirteen-digit (DUNS + 4), number established and assigned by Dun and Bradstreet, Inc. (D&B) to uniquely identify business entities.

All applicants and sub-recipients must have a DUNS number at the time of application in order to be considered for a grant or cooperative agreement. A DUNS number is required whether an applicant is submitting a paper application or using the Government-wide electronic portal, <a href="www.Grants.gov">www.Grants.gov</a>. A DUNS number is required for every application for a new award or renewal/continuation of an award, including applications or plans under formula, entitlement, and block grant programs. A DUNS number may be acquired at no cost online at <a href="http://fedgov.dnb.com/webform">http://fedgov.dnb.com/webform</a>. To acquire a DUNS number by phone, contact the D&B Government Customer Response Center:

U.S. and U.S Virgin Islands: 1-866-705-5711 Alaska and Puerto Rico: 1-800-234-3867 (Select Option 2, then Option 1) Monday - Friday 7 a.m. to 8 p.m., CST

The process to request a D-U-N-S Number by telephone will take between 5 and 10 minutes.

#### Central Contractor Registration (CCR) Requirement

Central Contractor Registration (CCR) is the Federal registrant database and repository into which an entity must provide information required for the conduct of business as a recipient. CCR, managed by the General Services Administration, collects, validates, stores, and disseminates data in support of agency financial assistance missions.

Effective October 1, 2011 HHS required all entities that plan to apply for, and ultimately receive, Federal grant funds from any HHS Agency, or receive sub-awards directly from recipients of those grant funds to:

- Be registered in the CCR prior to submitting an application of plan;
- Maintain an active CCR registration with current information at all times during which it has an active award or an application or plan under consideration by an OPDIV; and
- Provide its DUNS number in each application or plan it submits to the OPDIV.

ACF is prohibited from making an award until an applicant has complied with these requirements. At the time an award is ready to be made, if the intended recipient has not complied with these requirements, ACF:

- May determine that the applicant is not qualified to receive an award; and
- May use that determination as a basis for making an award to another applicant.

Additionally, all first-tier sub-award recipients (i.e., direct sub-recipient) must have a DUNS number at the time the sub-award is made

CCR registration may be made online at <a href="www.ccr.gov">www.ccr.gov</a> or by phone at 1-866-606-8220. CCR registration must be updated annually. CCR registration must be active and maintained with current information at all times during which an organization has an active award or an application under consideration.

Applicants are strongly encouraged to register at the CCR well in advance of the application due date.

#### APPLICATION DISQUALIFICATION FACTORS

Applications from individuals, foreign entities, or sole proprietorship organizations will be disqualified from competitive review and from funding under this announcement.

#### **Award Ceiling Disqualification**

Applications that request an award amount exceeding the *Award Ceiling* per budget period, or per project period, as stated in *Section II. Award Information*, will be disqualified from competitive review and from funding under this announcement. This disqualification applies only to the *Award Ceiling* listed for first 12-month budget period for projects with multiple budget periods. If the project and budget period are the same, the disqualification applies to the *Award Ceiling* listed for the project period.

#### **Application Submission Disqualifications**

Beginning January 1, 2012, ACF requires electronic submission of applications at <a href="www.Grants.gov">www.Grants.gov</a>. Applicants that do not have an Internet connection or sufficient computing capacity to upload large documents (files) to the Internet may contact ACF for an exemption that will allow these applicants to submit an application in paper format. Information on requesting an exemption from electronic application submission is found in Section IV.2. Application Submission Options.

The deadline for electronic application submission is 11:59 p.m., ET, on the due date listed in the Overview and in Section IV.3. Submission Dates and Times. Electronic applications submitted to <a href="https://www.Grants.gov">www.Grants.gov</a> after 11:59 p.m., ET, on the due date, as indicated by a dated and time-stamped email from <a href="https://www.Grants.gov">www.Grants.gov</a>, will be disqualified from competitive review and from funding under this announcement. That is, applications submitted to <a href="https://www.Grants.gov">www.Grants.gov</a>, on or after 12:00 a.m., ET, on the day after the due date will be disqualified from competitive review and from funding under this announcement.

**Please Note**: Applications submitted to <a href="www.Grants.gov">www.Grants.gov</a> at any time during the open application period, and prior to the due date and time, which fail the Grants.gov validation check, will not be received at ACF. These applications will not be acknowledged. Applications that fail the Grants.gov validation check are not transmitted to ACF though they may have been submitted on time.

Each time an application is submitted via <u>www.Grants.gov</u>, the application will receive a new date and time-stamp email. Only those applications with on-time date and time stamps that result in a validated application, which are transmitted to ACF, will be acknowledged.

The deadline for receipt of paper applications is 4:30 p.m., ET, on the due date listed in the *Overview* and *in Section IV.3. Submission Dates and Times*. Paper applications received after 4:30 p.m., ET, on the due date will be disqualified from competitive review and from funding under this announcement.

Paper applications received from applicants that have not requested an exemption from required electronic submission will be disqualified from competitive review and from funding under this announcement. See "Request an Exemption from Electronic Application Submission" in Section IV.2. Content and Form of Application Submission.

Applications that are disqualified under any of these circumstances will receive written notification by letter or by email.

Read and observe the formatting instructions for application submissions in *Section IV.2. Content and Form of Application Submission*.

Applications received from entities other than those organizations listed as eligible applicants in *Section III.1.Eligible Applicants* of this announcement, will be considered non-responsive and will be disqualified. They will not be reviewed or considered for funding under this announcement. Applications disqualified for this reason will not be returned.

#### Section IV. Application and Submission Information

#### IV.1. Address to Request Application Package

The electronic application submission package is available at www.Grants.gov.

For applicants that have received an exemption to submit applications in paper format, Standard Forms, assurances, and certifications are available at the ACF Funding Opportunities Forms webpage at <a href="http://www.acf.hhs.gov/grants/grants-resources.html">http://www.acf.hhs.gov/grants/grants-resources.html</a>. Versions of the Standard Forms that are compliant with Section 508 of the Rehabilitation Act (29 USC § 794d) are available at the <a href="https://www.whitehouse.gov/omb/grants-forms">Grants.gov Forms</a>. Repository website and at <a href="http://www.whitehouse.gov/omb/grants-forms">http://www.whitehouse.gov/omb/grants-forms</a>.

See Section IV.2.Request an Exemption from Required Electronic Application Submission if applicants do not have an Internet connection or sufficient computing capacity to upload large documents (files) to www.Grants.gov.

Susan Benjamin

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Division of Community Resettlement

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Washington, DC 20447

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Email: susan.benjamin@acf.hhs.gov

URL: http://www.acf.hhs.gov/programs/orr

#### **Federal Relay Service:**

Hearing-impaired and speech-impaired callers may contact the Federal Relay Service for assistance at 1-800-877-8339 (**TTY** - Text Telephone or **ASCII** - American Standard Code For Information Interchange).

#### Section IV.2. Content and Form of Application Submission

#### FORMATTING ACF APPLICATIONS

#### For All Applications:

#### **Authorized Organizational Representative (AOR)**

Each applicant must designate an Authorized Organizational Representative (AOR). An AOR is named by the applicant, and is authorized to act for the applicant, to assume the obligations imposed by the Federal laws, regulations, requirements, and conditions that apply to the grant application or awards.

AOR Authorization is part of the registration process at <u>www.Grants.gov</u> where the AOR will create a short profile and obtain a username and password from the Grants.gov Credential Provider. AORs will only be authorized for the DUNS number registered in the Central Contractor Registration (CCR).

#### **Point of Contact**

In addition to the AOR, a point of contact on matters involving the application must also be identified. The point of contact, known as the Project Director or Principal Investigator, should not be identical to the person identified as the AOR. The point of contact must be available to answer any questions pertaining to the application.

#### **Application Checklist**

Applicants may refer to *Section VIII*. *Other Information* for a checklist of application requirements that may be used in developing and organizing application materials. Details concerning acknowledgment of received applications are available in *Section IV.3*. *Submission Dates and Times* of this announcement.

Follow the instructions provided in the formatting section to ensure that your application can be printed efficiently and consistently for the competitive review.

#### Observe page limitations.

All applicants must follow the instructions provided in this section. Be sure to print out all attachments (components) on paper and count the number of pages before submission. Keep the printed out copy as a hard copy of your application for your files.

#### **Application Package Components**

Applications must be divided into the sections listed in the table. Page limitations may only apply to the Project Description document and the Appendices and the following:

- The Project Summary/Abstract is limited to one single-spaced page.
- The Budget Justification should be no more than 10 single-spaced pages.

Application Package Components	Page Limitations
Project Summary/Abstract	Limited to one single-spaced page
Project Description	Page Limitations and included items are listed later in this section
Budget Justification	No more than 10 single-spaced pages
Required Assurances and Certifications	No page limitations
Proof of Legal Status	No page limitations
Appendices	Page Limitations and included items are listed later in this section

#### FORMATTING FOR ELECTRONIC APPLICATIONS SUBMITTED VIA www.Grants.gov:

Notice: The Administration for Children and Families has implemented required electronic application submission via www. Grants.gov. Applicants are now required to submit their applications

electronically unless they have requested and received an exemption that will allow submission in paper format. See Section IV.2. Application Submission Options.

Electronic applications will only be accepted via <a href="www.Grants.gov">www.Grants.gov</a>. ACF will not accept applications submitted via email or via facsimile. Only applications, which pass the Grants.gov validation check, will be acknowledged.

**Please read this section carefully before beginning application submission.** It is mandatory to follow the instructions provided in this section to ensure that your application can be printed efficiently and consistently for review.

#### **Copies Required**

Applicants must submit one complete copy of the application package electronically. Applicants submitting electronic applications need not provide additional copies of their application package.

**NOTE:** Applications submitted via <a href="www.Grants.gov">www.Grants.gov</a> will undergo a validation check. See Section IV.2. Application Submission Options and Section IV.3. Application Due Dates and Times, Explanation of Due Dates. The validation check can affect whether the application is accepted for review. Applications that fail the <a href="www.Grants.gov">www.Grants.gov</a> validation check will not be transmitted to ACF. If the application fails the validation check and is not re-submitted by 11:59 p.m., ET, on the due date, it will be disqualified.

#### **Signatures**

Follow the AOR Authorization and E-Biz POC instructions provided at www.Grants.gov.

#### **OMB-Approved and Standard Forms (SFs)**

<u>www.Grants.gov</u> provides its own protocols for the submission of OMB-approved and Standard Forms (SFs) such as the SF-424 application and budget forms and the SF-P/PSL, Project/Performance Site Location form. See *Section IV.2. Forms, Assurances, and Certifications* for required OMB-approved Standard Forms and required assurances and certifications.

#### **Application Package Components**

Applications must be divided into the sections listed in the table. It is important that each component is submitted in a separate electronic file. Page limitations may only apply to the Project Description document and the Appendices and the following:

- The Project Summary/Abstract is limited to one single-spaced page.
- The Budget Justification should be no more than 10 single-spaced pages.

<b>Application Package Components</b>	Page Limitations
Project Summary/Abstract	Limited to one single-spaced page
Project Description	Page Limitations and included items are listed later in this section
Budget Justification	No more than 10 single-spaced pages
Required Assurances and Certifications	No page limitations
Proof of Legal Status	No page limitations

**	Page Limitations and included items are listed later in this section

The required content of the Project Description and any Appendices, and their page limits, are listed later in this section.

#### Carefully observe the file naming conventions required by www.Grants.gov.

Limit file names to 50 characters and do not use special characters (example: &,-,\*,%,/,#) including periods (.), blank spaces, and accent marks, within application form fields, and file attachment names. An underscore (\_) may be used to separate a file name.

#### Only use file formats supported by ACF.

It is critical that applicants only submit application components using the supported file formats listed here. Documents in file formats that are not supported by ACF will be removed from the application and will not be used in the competitive review. This may make the application incomplete and ACF will not make any awards based on an incomplete application.

#### **ACF** supports the following file formats:

- Adobe PDF Portable Document Format (.pdf)
- Microsoft Word (.doc or .docx)
- Microsoft Excel (.xls or .xlsx)
- Microsoft PowerPoint (.ppt)
- Corel WordPerfect (.wpd)
- Image Formats (.JPG, .GIF, .TIFF, or .BMP only)

#### Do not encrypt or password protect the electronic application files!

If ACF cannot access submitted electronic files because they have been encrypted or are password protected, the affected file will be removed from the application and will not be used in the competitive review. This may make the application incomplete and ACF will not make any awards based on an incomplete application.

#### FORMATTING FOR PAPER FORMAT APPLICATIONS:

The following requirements are only applicable to applications submitted in paper format. Applicants must receive an exemption from ACF in order to submit an application in paper format. See the section on "Request an Exemption from Required Electronic Application Submission" later in Section IV.2. Application Submission Options.

#### **Copies Required**

Applicants must provide one original and two copies of all application materials when submitting an application in paper format.

#### **Signatures**

An original signature of the Authorized Organization Representative (AOR) is required only on the original copy of hard copy application submissions. A point of contact on matters involving the application must also be identified on the SF-424 at item 8f. The point of contact, known as the Project Director or Principal Investigator, should not be identical to the person identified as the AOR.

#### **Format Requirements for Paper Applications**

All application materials must be submitted on 8 ½" x 11" white paper with 1-inch margins. All pages of the paper application submission must be sequentially numbered. Applicants must follow the instructions provided in this section and ensure that they print out all attachments on paper and count the

number of pages before submission.

All elements of the application submission, with the exception of the one-page Project Summary/Abstract, the Budget Justification, required Assurances and Certifications, and proof of legal status/non-profit status, must be in double-spaced format in 12-point font. The Project Summary/Abstract is required to be one single-spaced page in 12-point font. The Budget Justification may be single-spaced page in 12-point font and should be no more than 10 pages.

All copies of a mailed or hand delivered paper application must be submitted in a single package. A separate package must be submitted for application under a single funding opportunity. The package must be clearly labeled for the specific funding opportunity it is addressing.

Because each application will be duplicated, do not use or include separate covers, binders, clips, tabs, plastic inserts, maps, brochures, or any other items that cannot be processed easily on a photocopy machine with an automatic feed. Do not bind, clip, staple, or fasten in any way separate subsections of the application, including supporting documentation. Use a clip (not a staple) to securely bind the application together. Applicants are advised that the copies of the application submitted, not the original, will be reproduced by the Federal government for review. Application materials must be one-sided for duplication purposes.

Instructions on the order of assembly for paper application submissions are available under this formatting section.

#### **Page Limitations for Paper Format Application Submissions**

Information on page limitations for paper applications appear later in this formatting section.

Page limitations do not include OMB-approved Standard Forms (SFs), the one-page Project Summary/Abstract, proof of legal status/non-profit status, required Assurances and Certifications, and the Budget Justification, which may be no more than 10 single-spaced pages.

If an application exceeds the cited page limitation for double-spaced pages in the Project Description or the double-spaced page limitation cited for the Appendices, the extra pages will be removed and will not be reviewed. In addition, if an application narrative is single-spaced and/or one-and-a-half spaced (in whole or in part) the total number of these lines will be doubled. This adjustment may result in an increased total number of pages, which will be removed so that the application conforms to the cited double-spaced page limitation.

The Project Summary/Abstract is limited to one single-spaced page with 12-point font. Any pages over the one-page limit will be removed.

See Section IV.6. Other Submission Requirements for addresses for paper application submissions.

The Project Description is limited to 30 pages and includes the following in this order:

**Table of Contents** 

Objectives and Need for Assistance

**Approach** 

**Logic Model** 

**Organizational Capacity** 

#### **Outcomes Expected**

The Appendices are limited to 25 pages and include the following in this order:

**Organizational Charts** 

**Resumes** 

Third-party agreements

**Geographic Location** 

**Letters of Support** 

#### Required Forms, Assurances, and Certifications

Applicants seeking grant or cooperative agreement awards under this announcement must submit the listed Standard Forms (SFs), assurances, and certifications with the application. All required Standard Forms, assurances, and certifications are available at <a href="ACF Funding Opportunities Forms">ACF Funding Opportunities Forms</a> or at the Grants.gov Forms Repository unless specified otherwise.

Forms / Assurances / Certifications	Submission Requirement	Notes / Description
SF-424A - Budget Information - Non-Construction Programs and SF-424B - Assurances - Non-Construction Programs	Submission is required for all applicants when applying for a non-construction project. Standard Forms must be used. Forms must be submitted by the application due date.	Required for all applications when applying for a non-construction project. By signing and submitting the SF-424B, applicants are making the appropriate certification of their compliance with all Federal statutes relating to nondiscrimination.
SF-LLL - Disclosure of Lobbying Activities	If submission of this form is applicable, it is due prior at the time of application. It may also be submitted prior to the award of a grant.	If any funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment providing for the United States to insure or guarantee a loan, the applicant shall complete and submit the SF-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions. Applicants must furnish an executed copy of the Certification Regarding Lobbying prior to award.

Certification Regarding Lobbying	Submission required of all applicants prior to award.	Required for all applications.
SF-424 - Application for Federal Assistance and SF-P/PSL - Project/Performance Site Location(s)	Submission required for all applicants by the application due date.	Required for all applications.
DUNS Number (Universal Identifier) and Central Contractor Registration (CCR)	Required for all applicants.	Required for all applicants.

#### **Non-Federal Reviewers**

Since ACF will be using non-Federal reviewers in the review process, applicants have the option of omitting from the application copies (not the original) specific salary rates or amounts for individuals specified in the application budget as well as Social Security Numbers, if otherwise required for individuals. The copies may include summary salary information. If applicants are submitting their application electronically, ACF will omit the same specific salary rate information from copies made for use during the review and selection process.

#### The Project Description

#### **The Project Description Overview**

The project description provides the majority of information by which an application is evaluated and ranked in competition with other applications for available assistance. The project description should be concise and complete. It should address the activity for which Federal funds are being requested. Supporting documents should be included where they can present information clearly and succinctly. In preparing the project description, information that is responsive to each of the requested evaluation criteria must be provided. Awarding offices use this and other information in making their funding recommendations. It is important, therefore, that this information be included in the application in a manner that is clear and complete.

#### **General Expectations and Instructions**

ACF is particularly interested in specific project descriptions that focus on outcomes and convey strategies for achieving intended performance. Project descriptions are evaluated on the basis of substance and measurable outcomes, not length. Extensive exhibits are not required. Cross-referencing should be used rather than repetition. Supporting information concerning activities that will not be directly funded by the grant or information that does not directly pertain to an integral part of the grant-funded activity should be placed in an appendix.

#### General Instructions for Preparing a Full Project Description

#### Introduction

Applicants that are required to submit a full project description shall prepare the project description statement in accordance with the following instructions while being aware of the specified evaluation criteria. The topics listed in this section provide a broad overview of what the project description should include while the Criteria in *Section V.1*. identify the measures that will be used to evaluate applications.

#### **Table of Contents**

List the contents of the application including corresponding page numbers.

#### **Project Summary/Abstract**

Provide a summary of the application's project description. The summary must be clear, accurate, concise, and without reference to other parts of the application. The abstract must include a brief description of the proposed grant project including the needs to be addressed, the proposed services, and the population group(s) to be served.

Please place the following at the top of the abstract:

- Project Title
- Applicant Name
- Address
- Contact Phone Numbers (Voice, Fax)
- E-Mail Address
- Web Site Address, if applicable

The project abstract must be single-spaced and limited to one page in length.

#### **Objectives And Need For Assistance**

Clearly identify the physical, economic, social, financial, institutional, and/or other problem(s) requiring a solution. The need for assistance including the nature and scope of the problem must be demonstrated, and the principal and subordinate objectives of the project must be clearly and concisely stated; supporting documentation, such as letters of support and testimonials from concerned interests other than the applicant, may be included. Any relevant data based on planning studies or needs assessments should be included or referred to in the endnotes/footnotes. Incorporate demographic data and participant/beneficiary information, as needed. In developing the project description, the applicant may volunteer or be requested to provide information on the total range of projects currently being conducted and supported (or to be initiated), some of which may be outside the scope of the program announcement.

#### **Outcomes Expected**

Identify the outcomes to be derived from the project.

#### **Approach**

Outline a plan of action that describes the scope and detail of how the proposed work will be accomplished. Account for all functions or activities identified in the application. Cite factors that might accelerate or decelerate the work and state your reason for taking the proposed approach rather than others. Describe any unusual features of the project such as design or technological innovations, reductions in cost or time, or extraordinary social and community involvement.

Provide quantitative monthly or quarterly projections of the accomplishments to be achieved for each function or activity in such terms as the number of people to be served and the number of activities accomplished. Data may be organized and presented as project tasks and subtasks with their

corresponding timelines during the project period. For example, each project task could be assigned to a row in the first column of a grid. Then, a unit of time could be assigned to each subsequent column, beginning with the first unit (i.e., week, month, quarter) of the project and ending with the last. Shading, arrows, or other markings could be used across the applicable grid boxes or cells, representing units of time, to indicate the approximate duration and/or frequency of each task and its start and end dates within the project period.

When accomplishments cannot be quantified by activity or function, list them in chronological order to show the schedule of accomplishments and their target dates.

Provide a list of organizations, cooperating entities, consultants, or other key individuals who will work on the project, along with a short description of the nature of their effort or contribution.

#### **Evaluation**

Provide a narrative addressing how the conduct of the project and its results will be evaluated. In addressing the evaluation of results, state what measures will be used to determine the extent to which the project has achieved its stated objectives and the extent to which the accomplishment of objectives can be attributed to the project. Discuss the criteria to be used to evaluate results, and explain the methodology that will be used to determine if the needs identified and discussed are being met and if the project results and benefits are being achieved. With respect to the conduct of the project, define the procedures to be employed to determine whether the project is being conducted in a manner consistent with the work plan presented and discuss the impact of the project's various activities that address the project's effectiveness.

#### **Geographic Location**

Describe the precise location of the project and boundaries of the area to be served by the proposed project. Maps or other graphic aids may be attached.

#### **Logic Model**

Applicants are expected to use a model for designing and managing their project. A logic model is a one-page diagram that presents the conceptual framework for a proposed project and explains the links among program elements. While there are many versions of logic models, for the purposes of this announcement the logic model should summarize the connections between the:

- Goals of the project (e.g., objectives, reasons for proposing the interventions, if applicable);
- Assumptions (e.g., beliefs about how the program will work and its supporting resources. Assumptions should be based on research, best practices, and experience);
- Inputs (e.g., organizational profile, collaborative partners, key staff, budget);
- Activities (e.g., approach, listing key intervention, if applicable);
- Outputs (i.e., the direct products or deliverables of program activities); and
- Outcomes (i.e., the results of a program, typically describing a change in people or systems).

#### **Organizational Capacity**

• Organizational charts

Provide a biographical sketch or resume for each key person appointed. Resumes should be no more than two pages in length. Job descriptions for each vacant key position should be included as well. As new key staff are appointed, biographical sketches or resumes will also be required.

#### **Dissemination Plan**

Provide a plan for distributing reports and other project outputs to colleagues and to the public. Applicants must provide a description of the method, volume, and timing of distribution.

#### **Third-Party Agreements**

Provide written and signed agreements between grantees and subgrantees, or subcontractors, or other cooperating entities. These agreements must detail the scope of work to be performed, work schedules, remuneration, and other terms and conditions that structure or define the relationship.

#### **Letters Of Support**

Provide statements from community, public, and commercial leaders that support the project proposed for funding. All submissions should be included in the application package or by the application deadline.

#### The Project Budget and Budget Justification

All applicants are required to submit a project budget and budget justification with their application. The project budget is input on the Budget Information Standard Form, either SF-424A or SF-424C. The budget justification is a line-item detail that includes detailed calculations for "object class categories" identified on the Budget Information Standard Form. Calculations must include estimation methods, quantities, unit costs, and other similar quantitative detail sufficient for the calculation to be duplicated. If matching or cost sharing is a requirement, applicants must include a detailed listing of any funding sources identified in Block 18 of the SF-424 (Application for Federal Assistance).

Project budget Standard Forms and the budget justification will not count toward page limitations; however, the justification should be no more than 10 single-spaced pages with fonts of no less than 12-points.

Special Note: The Consolidated Appropriations Act, 2012 (Pub.L. 112-74), enacted December 23, 2011, limits the salary amount that may be awarded and charged to ACF grants and cooperative agreements. Award funds issued under this announcement may not be used to pay the salary, or any percentage of salary, to an individual at a rate in excess of Executive Level II. The Executive Level II salary of the Federal Executive Pay scale is \$179,700 (http://www.opm.gov/oca/12tables/html/ex.asp). This amount reflects an individual's base salary exclusive of fringe and any income that an individual may be permitted to earn outside of the duties to the applicant organization. This salary limitation also applies to subawards/subcontracts under a ACF grant or cooperative agreement.

Provide a narrative budget justification for the first year of the proposed project. The narrative budget justification should describe how the categorical costs are derived. Discuss the necessity, reasonableness, and allocation of the proposed costs.

#### General

Use the following guidelines for preparing the budget and budget justification. Both Federal and non-Federal resources (when required) shall be detailed and justified in the budget and budget narrative justification. "Federal resources" refers only to the ACF grant funds for which you are applying. "Non-Federal resources" are all other non-ACF Federal and non-Federal resources. It is suggested that budget amounts and computations be presented in a columnar format: first column, object class categories; second column, Federal budget; next column(s), non-Federal budget(s); and last column, total budget. The budget justification should be in a narrative form.

#### Personnel

Description: Costs of employee salaries and wages.

Justification: Identify the project director or principal investigator, if known at the time of application. For each staff person, provide: the title; time commitment to the project in months; time commitment to the project as a percentage or full-time equivalent; annual salary; grant salary; wage rates; etc. Do not include the costs of consultants, personnel costs of delegate agencies, or of specific project(s) and/or businesses to be financed by the applicant.

#### **Fringe Benefits**

Description: Costs of employee fringe benefits unless treated as part of an approved indirect cost rate.

Justification: Provide a breakdown of the amounts and percentages that comprise fringe benefit costs such as health insurance, Federal Insurance Contributions Act (FICA) taxes, retirement insurance, taxes, etc.

#### **Travel**

Description: Costs of project-related travel by employees of the applicant organization. (This item does not include costs of consultant travel).

Justification: For each trip show: the total number of traveler(s); travel destination; duration of trip; per diem; mileage allowances, if privately owned vehicles will be used to travel out of town; and other transportation costs and subsistence allowances. If appropriate for this project, travel costs for key staff to attend ACF-sponsored workshops should be detailed in the budget.

#### **Equipment**

Description: "Equipment" means an article of nonexpendable, tangible personal property having a useful life of more than one year and an acquisition cost that equals or exceeds the lesser of: (a) the capitalization level established by the organization for the financial statement purposes, or (b) \$5,000. (Note: Acquisition cost means the net invoice unit price of an item of equipment, including the cost of any modifications, attachments, accessories, or auxiliary apparatus necessary to make it usable for the purpose for which it is acquired. Ancillary charges, such as taxes, duty, protective in-transit insurance, freight, and installation, shall be included in or excluded from acquisition cost in accordance with the organization's regular written accounting practices.)

Justification: For each type of equipment requested provide: a description of the equipment; the cost per unit; the number of units; the total cost; and a plan for use on the project; as well as use and/or disposal of the equipment after the project ends. An applicant organization that uses its own definition for equipment should provide a copy of its policy, or section of its policy, that includes the equipment definition.

#### **Supplies**

Description: Costs of all tangible personal property other than that included under the Equipment category.

Justification: Specify general categories of supplies and their costs. Show computations and provide other information that supports the amount requested.

#### Contractual

Description: Costs of all contracts for services and goods except for those that belong under other categories such as equipment, supplies, construction, etc. Include thirdparty evaluation contracts, if applicable, and contracts with secondary recipient organizations, including delegate agencies and specific project(s) and/or businesses to be financed by the applicant.

Justification: Demonstrate that all procurement transactions will be conducted in a manner to provide, to the maximum extent practical, open and free competition. Recipients and subrecipients, other than States that are required to use 45 CFR Part 92 procedures, must justify any anticipated procurement action that is expected to be awarded without competition and exceeds the simplified acquisition threshold fixed at 41 U.S.C. 403(11), currently set at \$100,000. Recipients may be required to make pre-award review and procurement documents, such as requests for proposals or invitations for bids, independent cost estimates, etc. available to ACF.

Note: Whenever the applicant intends to delegate part of the project to another agency, the applicant must provide a detailed budget and budget narrative for each delegate agency, by agency title, along with the same supporting information referred to in these instructions.

#### Other

Description: Enter the total of all other costs. Such costs, where applicable and appropriate, may include but are not limited to: local travel; insurance; food; medical and dental costs (noncontractual); professional services costs; space and equipment rentals; printing and publication; computer use; training costs, such as tuition and stipends; staff development costs; and administrative costs.

Justification: Provide computations, a narrative description and a justification for each cost under this category.

#### **Indirect Charges**

Description: Total amount of indirect costs. This category should be used only when the applicant currently has an indirect cost rate approved by the Department of Health and Human Services (HHS) or another cognizant Federal agency.

Justification: An applicant that will charge indirect costs to the grant must enclose a copy of the current rate agreement. If the applicant organization is in the process of initially developing or renegotiating a rate, upon notification that an award will be made, it should immediately develop a tentative indirect cost rate proposal based on its most recently completed fiscal year, in accordance with the cognizant agency's guidelines for establishing indirect cost rates, and submit it to the cognizant agency. Applicants awaiting approval of their indirect cost proposals may also request indirect costs. When an indirect cost rate is requested, those costs included in the indirect cost pool should not be charged as direct costs to the grant. Also, if the applicant is requesting a rate that is less than what is allowed under the program, the authorized representative of the applicant organization must submit a signed acknowledgement that the applicant is accepting a lower rate than allowed.

#### **Paperwork Reduction Disclaimer**

As required by the Paperwork Reduction Act, 44 U.S.C. §§ 3501-3520, the public reporting burden for the Project Description is estimated to average 40 hours per response, including the time for reviewing instructions, gathering and maintaining the data needed, and reviewing the collection information. The Project Description information collection is approved under OMB control number 0970-0139, which expires 11/30/2012. An agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a currently valid OMB control number.

#### **Application Submission Options**

#### Electronic Submission via www.Grants.gov

- Electronic applications must be submitted to <a href="www.Grants.gov">www.Grants.gov</a> by 11:59 p.m., ET, on the due date.
- A DUNS Number and current registration at the Central Contractor Registry (CCR) are required. DUNS and CCR registration are part of the <a href="https://www.Grants.gov">www.Grants.gov</a> registration process. See "Get Registered" at <a href="https://grants.gov/applicants/get registered.jsp">https://grants.gov/applicants/get registered.jsp</a>.
- ACF will not accept applications via facsimile or email.
- The electronic application can be downloaded from www.Grants.gov.
- It is to an applicant's advantage to submit their applications at least 24 hours in advance of the application due date and time in order to correct any failures found during the application validation check.
- Electronic submission at www.Grants.govis two-step process:
  - Submission by the due date and time.
  - Application validation check.
- Electronically submitted applications will not pass the validation check at Grants.gov if the AOR does not have a current CCR registration and electronic signature credentials.
- Read and observe all application submission requirements provided at http://www.grants.gov/applicants/apply for grants.jsp.
- Observe the formatting requirements and page limitations provided in the *Section IV.2. Formatting ACF Applications* section for electronic applications.
- Carefully read and observe electronic file naming conventions provided in the application submission instructions at <a href="http://www.grants.gov/applicants/apply">http://www.grants.gov/applicants/apply</a> for grants.jsp.
- Use only file formats supported by ACF. See Section IV.2. Formatting ACF Applications.
- Additional guidance on the submission of electronic applications can be found at the http://www.grants.gov/assets/Organization Steps Complete Registration.pdf.
- If applicants encounter any technical difficulties in using <a href="www.Grants.gov">www.Grants.gov</a> contact the Grants.gov
  Contact Center at:1-800-518-4726, or by email at <a href="support@grants.gov">support@grants.gov</a>, to report the problem and obtain assistance. Hours of Operation: 24 hours a day, 7 days a week. The Grants.gov Contact Center is closed on Federal holidays.
- Applicants should retain Grants.gov Contact Center service ticket number(s) as they may be needed for future reference.
- Applicants that submit their applications electronically should retain a hard copy of their application package.
- Contact with the Grants.gov Contact Center prior to the listed due date and time does not ensure acceptance of your application. If difficulties are encountered, the Grants Management Officer listed in *Section VII. Agency Contacts* will determine whether the submission issues are due to Grants.gov system errors or user error.

### Application Validation at www.Grants.gov

The difference between a submission receipt and submission validation is after an applicant submits an application, Grants.gov generates a submission receipt via email and also sets the application status to "Received". This receipt verifies the application has been successfully delivered to the Grants.gov system.

Next, Grants.gov verifies the submission is valid by ensuring it does not contain viruses, the opportunity is still open, and the applicant login and applicant DUNS number match. If the submission is valid, Grants.gov generates a submission validation receipt via email and sets the application status to "Validated".

If the application is not validated, the application status is set to "Rejected". The system sends a rejection email notification to the applicant and the applicant must re-submit the application package. See "What to

Expect After Submitting" at www.Grants.gov for more information.

Each time an application is submitted, or re-submitted, via <a href="www.Grants.gov">www.Grants.gov</a>, the application will receive a new date and time stamp. Only those applications with on-time date and time stamps that result in a validated application, which are transmitted to ACF, will be acknowledged.

Applicants will be provided with an acknowledgement from <a href="www.Grants.gov">www.Grants.gov</a> that the submitted application package has passed, or failed, a series of checks and validations. Applications that are submitted on time that fail the validation check will not be transmitted to ACF and will not be acknowledged.

#### Request an Exemption from Required Electronic Application Submission

ACF recognizes that some applicants may have limited or no Internet access, and/or limited computer capacity, which may prohibit them from uploading large files to the Internet at <a href="www.Grants.gov">www.Grants.gov</a>. To accommodate such applicants, ACF offers an exemption from required electronic submission. The exemption will allow applicants to submit hard copy, paper applications by hand-delivery, applicant courier, overnight/express mail couriers, or by other representatives of the applicant.

To receive an exemption from required electronic application submission, applicants must submit a written request to ACF that the applicant qualifies for the exemption for one of two reasons:

- Lack of Internet access or Internet connection, or
- Limited computer capacity that prevents the uploading of large documents (files) to the Internet at <a href="https://www.Grants.gov">www.Grants.gov</a>.

Applicants may request and receive the exemption from required electronic application submission by either:

- Submitting an email request to electronicappexemption@acf.hhs.gov, or by
- Sending a written request to the Office of Grants Management Contact listed in *Section VII. Agency Contacts* in this announcement.

An exemption is applicable to all applications submitted by the applicant organization during the Federal Fiscal Year (FFY) in which it is received. Applicants need only request an exemption once in a Federal Fiscal Year (FFY). Applicants will need to request a new exemption from required electronic submission for any succeeding FFY.

Please Note: electronicappexemption@acf.hhs.gov may be used only to request an exemption from required application submission. All other inquiries must be directed to the appropriate Agency Contact listed in Section VII. of this announcement. Queries other than requests for approval of an exemption to allow submission of an application in paper format submitted to this email address will not be acknowledged or answered.

Exemption requests by email to electronicappexemption@acf.hhs.gov and by postal mail must include:

- Funding Opportunity Announcement Title,
- Funding Opportunity Number (FON),
- The listed Catalog of Federal Domestic Assistance (CFDA) number
- Name of Applicant Organization and DUNS Number
- AOR name and contact information
- Name and contact information of person to be contacted on matters involving the application
- The reason for which the applicant is requesting an exemption from electronic application submission. The reason must be either the lack of Internet access or connection, or lack of computer

capacity that prevents uploading large documents (files) to the Internet.

Exemption requests must be *received by* ACF no later than two weeks before the application due date, that is, 14 calendar days prior to the application due date listed in the *Overview* and in *Section IV.3. Submission Dates and Times*. If the fourteenth calendar day falls on a weekend or Federal holiday, the due date for receipt of an exemption request will move to the next Federal business day that follows the weekend or Federal holiday.

Applicants may refer to *Section VIII*. *Other Information* for a checklist of application requirements that may be used in developing and organizing application materials. Details concerning acknowledgment of received applications are available in *Section IV.3*. *Submission Dates and Times* if this announcement.

#### **Paper Format Application Submission**

An exemption is now required for the submission of paper applications. See "Request an Exemption from Electronic Application Submission" in the next section.

Applicants with exemptions that submit their applications in paper format, by mail or delivery, must submit one original and two copies of the complete application with all attachments. The original and each of the two copies must include all required forms, certifications, assurances, and appendices, be signed by the Authorized Organization Representative (AOR), and be unbound. The original copy of the application must have original signature(s). See *Section IV.6* of this announcement for address information for paper format application submissions.

Applications submitted in paper format must show a DUNS Number. A DUNS Number is a nine-digit number established and assigned by Dun and Bradstreet, Inc. (D&B) to uniquely identify business entities. A DUNS number may be acquired at no cost online at <a href="http://www.dnb.com">http://www.dnb.com</a>. To acquire a DUNS number by phone, contact the D&B Government Customer Response Center: U.S. and U.S Virgin Islands: 1-866-705-5711; Alaska and Puerto Rico: 1-800-234-3867 (Select Option 2, then Option 1). Monday through Friday 7 a.m. to 8 p.m., CST.

As of October 1, 2010, all applicants for Federal grants and cooperative agreements, including those that apply in paper format, are required to have Central Contractor Registration (CCR). CCR registration is also required for organizations that will receive subawards under Federal grants and cooperative agreements. CCR registration may be made online at <a href="https://www.ccr.gov">www.ccr.gov</a> or by phone at 1-866-606-8220.

CCR registration must be updated annually from the date of the initial registration. CCR registration is required to be active throughout the period of award. Lack of CCR registration will prevent ACF from making an award to a recommended applicant.

There is the possibility of heavy traffic at the CCR website at application due dates. Therefore, applicants are strongly encouraged to register at the CCR well in advance of the application due date. CCR registration must be updated annually. CCR registration must be active and maintained with current information at all times during which an organization has an active award or an application under consideration.

Applicants may refer to *Section VIII*. *Other Information* for a checklist of application requirements that may be used in developing and organizing application materials. Details concerning acknowledgment of received applications are available in *Section IV.3*. *Submission Dates and Times* in this announcement.

#### IV.3. Submission Dates and Times

Due Date for Applications: 03/12/2012

#### **Due Date for Applications:**

FY 2011: 06/06/2011 FY 2012: 03/12/2012

FY 2013: 03/01/2013

#### **Explanation of Due Dates**

The due date for receipt of applications is listed in the *Overview* section and in this section. See *Section III.3. Application Disqualification Factors*.

#### **Electronic Applications**

The deadline for submission of electronic applications via <a href="www.Grants.gov">www.Grants.gov</a> is 11:59 p.m., ET, on the due date. Electronic applications submitted at 12:00 a.m., ET, on the day after the due date will be considered late and will be disqualified from competitive review and from funding under this announcement.

Applicants are required to submit their applications electronically via <u>www.Grants.gov</u> unless they received an exemption through the process described in *Section IV.2. Request an Exemption from Required Electronic Application Submission*.

ACF does not accommodate transmission of applications by email or facsimile.

Instructions for electronic submission via <u>www.Grants.gov</u> are available at http://www.grants.gov/applicants/apply for grants.jsp.

#### Please note:

Applications submitted to <a href="www.Grants.gov">www.Grants.gov</a> at any time during the open application period, and prior to the due date and time, that fail the Grants.gov validation check, will not be received at ACF. **These applications will not be acknowledged.** Applications that fail the Grants.gov validation check are not transmitted to ACF though they may have been submitted on time.

Each time an application is submitted via <a href="www.Grants.gov">www.Grants.gov</a>, the application will receive a new date and time-stamp. Only those applications with date and time-stamps that result in a validated application, which is transmitted to ACF, will be acknowledged.

#### **Mailed Paper Format Applications**

The deadline for mailed paper applications is 4:30 p.m., ET, on the due date. Mailed paper applications received after the due date and deadline time will be will be considered late and will be disqualified from competitive review and from funding under this announcement.

Paper format application submissions will be disqualified if the applicant organization has not received an exemption through the process described in *Section IV.2. Request an Exemption from Required Electronic Application Submission*.

#### **Hand-Delivered Paper Format Applications**

Applications that are hand-delivered by applicants, applicant couriers, by overnight/express mail couriers, or other representatives of the applicant must be received on, or before, the due date listed in the *Overview* and in this section. These applications must be delivered between the hours of 8:00 a.m. and 4:30 p.m., ET, Monday through Friday (excluding Federal holidays). Applications should be delivered to the address provided in *Section IV.6.Other Submission Requirements*.

Hand-delivered paper applications received after the due date and deadline time will be considered late and will be disqualified from competitive review and from funding under this announcement.

Hand-delivered paper format application submissions will be disqualified if the applicant organization has not received an exemption through the process described in *Section IV.2. Request an Exemption from Required Electronic Application Submission*.

#### No appeals will be considered for applications classified as late under the following circumstances:

- Applications submitted electronically via <u>www.Grants.gov</u> are considered late when they are dated and time-stamped after the deadline of 11:59 p.m., ET, on the due date.
- Paper format applications received by mail or hand-delivery after 4:30 p.m., ET, on the due date will be classified as late and will be disqualified.
- Paper format applications received from applicant organizations that were not approved for an exemption from required electronic application submission under the process described in *Section IV.2. Request an Exemption from Required Electronic Submission*.

#### Extensions and/or Waiving Due Date and Receipt Time Requirements

ACF may extend an application due date and receipt time when circumstances make it impossible for applicants to submit their applications on time. These events include natural disasters (floods, hurricanes, tornados, etc.), or when there are widespread disruptions of electrical service, or mail service, or in other rare cases. The determination to extend or waive due date and/or receipt time requirements rests with the Grants Management Officer listed as the Office of Grants Management Contact in Section VII. Agency Contacts.

#### Acknowledgement from www.Grants.gov of an electronic application's submission:

Applicants will receive an initial email upon submission of their application to <a href="www.Grants.gov">www.Grants.gov</a>. This email will provide a **Grants.gov Tracking Number**. Applicants should refer to this tracking number in all communication with Grants.gov. The email will also provide a **date and time stamp**, which serves as the official record of application's submission. The date and time-stamp must reflect a submission time on, or before, 11:59 p.m., ET, on the application due date. Receipt of this email does not indicate that the application is accepted or that is has passed the validation check.

Each time an application is submitted, or re-submitted, via <a href="www.Grants.gov">www.Grants.gov</a>, the application will receive a new date and time-stamp. Only those applications with on time date and time-stamps that result in a validated application, which is transmitted to ACF, will be acknowledged.

Applicants will be provided with an acknowledgement from <a href="www.Grants.gov">www.Grants.gov</a> that the submitted application package has passed, or failed, a series of checks and validations. Applications that are submitted on time that fail the validation check will not be transmitted to ACF and will not be acknowledged.

See "What to Expect After Submitting" at www.Grants.gov for more information.

#### Acknowledgement from ACF of an electronic application's submission:

Applicants will be sent additional email(s) from ACF acknowledging that the application has been retrieved from <a href="www.Grants.gov">www.Grants.gov</a> by ACF. Receipt of these emails is not an indication that the application is accepted for competition.

#### Acknowledgement from ACF of a paper format (hard copy) application's submission:

ACF will not provide acknowledgement of receipt of hard copy application packages submitted via mail or courier services.

#### IV.4. Intergovernmental Review of Federal Programs

This program is covered under Executive Order (E.O.) 12372, "Intergovernmental Review of Federal Programs," and 45 CFR Part 100, "Intergovernmental Review of Department of Health and Human Services Programs and Activities." Under the Executive Order, States may design their own processes for reviewing and commenting on proposed Federal assistance under covered programs.

Applicants should go to the following URL for the official list of the jurisdictions that have elected to participate in E.O. 12372 http://www.whitehouse.gov/omb/grants\_spoc/.

Applicants from participating jurisdictions should contact their SPOC, as soon as possible, to alert them of their prospective applications and to receive instructions on their jurisdiction's procedures. Applicants must submit all required application materials to the SPOC and indicate the date of submission on the Standard Form (SF) 424 at item 19.

Under 45 CFR 100.8(a)(2), a SPOC has 60 days from the application due date to comment on proposed new awards.

SPOC comments may be submitted directly to ACF to: U.S. Department of Health and Human Services, Administration for Children and Families, Office of Grants Management, Division of Discretionary Grants, 370 L'Enfant Promenade SW., 6th Floor East, Washington, DC 20447.

Entities that meet the eligibility requirements of this announcement are still eligible to apply for a grant even if a State, Territory or Commonwealth, etc., does not have a SPOC or has chosen not to participate in the process. Applicants from non-participating jurisdictions need take no action with regard to E.O. 12372. Applications from Federally-recognized Indian Tribal governments are not subject to E.O. 12372.

#### **IV.5. Funding Restrictions**

Costs of organized fund raising, including financial campaigns, endowment drives, solicitation of gifts and bequests, and similar expenses incurred solely to raise capital or obtain contributions, are considered unallowable costs under grants or cooperative agreements awarded under this announcement.

Grant awards will not allow reimbursement of pre-award costs.

Construction is not an allowable activity or expenditure under this grant award.

Purchase of real property is not an allowable activity or expenditure under this grant award.

#### IV.6. Other Submission Requirements

Submit paper applications to one of the following addresses. See *Section IV.2. Request an Exemption from Required Electronic Application Submission*.

#### **Submission By Mail**

Daphne Weeden

Office of Grants Management, 6th Floor East

Division of Discretionary Grants

Administration for Children and Families

370 L'Enfant Promenade, SW

Washington, DC 20447

#### **Hand Delivery**

Daphne Weeden

Office of Grants Management, 6th Floor East

Division of Discretionary Grants

Administration for Children and Families

901 D Street, SW

ACF Mailroom, 2nd Floor (near loading dock)

Washington, DC 20447

#### **Electronic Submission**

See *Section IV.2* for application requirements and for guidance when submitting applications electronically via <a href="http://www.Grants.gov">http://www.Grants.gov</a>.

For all submissions, see Section IV.3 for information on due dates and times.

#### V. Application Review Information

#### V.1. Criteria

Applications competing for financial assistance will be reviewed and evaluated using the criteria described in this section. The corresponding point values indicate the relative importance placed on each review criterion. Points will be allocated based on the extent to which the application proposal addresses each of the criteria listed. Applicants should address these criteria in their application materials, particularly in the project description and budget justification, as they are the basis upon which competing applications will be judged during the objective review. The required elements of the project description and budget justification may be found in *Section IV.2* of this announcement.

#### **OBJECTIVES AND NEED FOR ASSISTANCE**

**Maximum Points: 25** 

The applicant's objectives clearly identify who will be served, what will be achieved, and in what location project activities will take place, either through a logic model or charts and time-lines.

The listed objectives are "SMART" in that they are:

- Specific to avoid differing interpretations;
- Measurable to monitor and evaluate progress (preferably numerically);
- **Appropriate** to the identified problems/need in the targeted community and to the applicant organization;
- Realistic achievable, yet challenging and meaningful; and
- Time-Bound with a specific time for achieving them.

The applicant demonstrates comprehensive understanding of refugee populations as new members of the U.S. community.

The applicant demonstrates an understanding of the activities that assist a community to prepare for new refugee populations. The conditions in the proposed resettlement communities are clearly described.

The application documents the need for additional services leading to enhanced resettlement for arriving populations.

The application includes a national placement plan that documents the applicant's understanding of the arriving refugee groups and their characteristics. The applicant demonstrates a clear understanding of the population to be served.

The national voluntary agency documents the number of special populations and the services needed.

The number of refugees projected to be served is reasonable in light of the resettlement capacity.

ORR will give priority to those organizations focused on developing capacity for special needs cases such as: medical and mental health; Lesbian, Gay, Bi-sexual, and Transgender (LGBT); HIV-positive; and other vulnerable populations.

APPROACH Maximum Points: 20

The application describes a strategy and plan, including a description of each proposed Preferred Community and an assessment of appropriateness for placement, that are likely to achieve increased placement in Preferred Communities and excellent opportunities for integration. The description should include a specific discussion of special populations where appropriate.

The proposed activities and time-frames described in the application are reasonable and feasible. The plan describes in detail how the proposed activities will be accomplished, as well as the potential for the project to achieve economic independence for arriving refugees.

The application includes a clear and comprehensive description of the preferred sites proposed.

The application includes a clear and comprehensive description of the national voluntary agency placement planning activities, including meeting with the State Refugee Coordinator, documenting coordination and outcomes, community preparation activities and how they will be impacted by this project.

The application ensures that proposed services will be delivered in a manner that is linguistically and culturally appropriate to the target population.

#### **OUTCOMES EXPECTED**

**Maximum Points: 25** 

The application clearly describes the results and benefits to be achieved. The application proposes an increase in the actual number of free cases placed in the specified community or in the case of special populations, the application clearly describes the additional programs or services appropriate to the needs of the group.

The application describes the results or benefits in terms of the opportunities provided for refugees.

The application demonstrates that the proposed outcomes are measurable and achievable within the grant project period, including special services and refugee self-sufficiency.

The application demonstrates that the proposed monitoring and information collection is adequately planned and can be feasibly implemented within the proposed time-lines.

The application clearly describes how the special population will benefit from proposed services, *e.g.*, enhanced case management, special medical care, referrals and follow-up with culturally and linguistically appropriate mainstream providers.

The application describes how the impact of the funds will be measured on key indicators associated with the purpose of the project.

The application describes proposed outcomes that are tangible and achievable within the grant project period, and demonstrates that the proposed monitoring and information collection are adequately planned.

#### ORGANIZATIONAL PROFILES

**Maximum Points: 15** 

The application describes in detail the administrative and management features of the project, including a plan for fiscal and programmatic management of each activity and planning activities, with proposed start-up times, ongoing time-lines, major milestones or benchmarks, a component/project organization chart, management of affiliates, monitoring and a staffing chart of affiliate network.

The application documents the qualifications of project staff, both national applicant and affiliate agencies, as well as any volunteers.

The application discusses instances of the applicant managing grants of the same size as the applicant is requesting here.

#### BUDGET AND BUDGET JUSTIFICATION

**Maximum Points: 15** 

The budget and narrative justification are reasonable, clearly presented, and cost-effective in relation to the proposed activities and anticipated results.

The per capita budget is justified and reasonable.

The application clearly indicates how awarded funds will complement Reception and Placement and other social services to achieve the objectives.

#### V.2. Review and Selection Process

No grant award will be made under this announcement on the basis of an incomplete application. No grant award will be made to an applicant that does not have active CCR registration (<a href="www.ccr.gov">www.ccr.gov</a> or 1-866-606-8220).

#### **Initial ACF Screening**

Each application will be screened to determine whether it meets one of the following disqualification criteria as described in *Section III.3. Application Disqualification Factors*:

- Applications that are designated as late according to Section IV.3. Submission Dates and Times,
- Application that are submitted in paper format without prior approval of an exemption from required electronic submission (Section IV.2. Request an Exemption from Required Electronic Application Submission), or
- Applications with requests that exceed the award ceiling stated in Section II. Award Information.

For those applications that have been disqualified under the initial ACF screening, notice will be provided by postal mail or by email. See *Section IV.3*. for information on ACF's acknowledgment of received applications.

#### **Objective Review and Results**

Applications competing for financial assistance will be reviewed and evaluated by objective review panels using the criteria described in *Section V.1. Criteria* of this announcement. Each panel is composed of

experts with knowledge and experience in the area under review. Generally, review panels include three reviewers and one chairperson.

Results of the competitive objective review are taken into consideration by ACF in the selection of projects for funding; however, objective review scores and rankings are not binding. They are one element in the decision-making process.

ACF may elect not to fund applicants with management or financial problems that would indicate an inability to successfully complete the proposed project. Applications may be funded in whole or in part. Successful applicants may be funded at an amount lower than that requested. ACF reserves the right to consider preferences to fund organizations serving emerging, unserved, or under-served populations, including those populations located in pockets of poverty. ACF will also consider the geographic distribution of Federal funds in its award decisions.

Please refer to *Section IV.2*. of this announcement for information on non-Federal reviewers in the review process.

#### **Approved but Unfunded Applications**

Applications recommended for approval that were not funded under the competition because of the lack of available funds, may be held over by ACF and re-considered in a subsequent review cycle if a future competition under the program area is planned. These applications will be held over for a period of up to one year and will be re-competed for funding with all other competing applications in the next available review cycle. For those applications that have been deemed as approved but unfunded, notice will be given of such determination by postal mail.

#### V.3. Anticipated Announcement and Award Dates

Announcement of awards and the disposition of applications will be provided to applicants at a later date.

#### VI. Award Administration Information

#### VI.1. Award Notices

Successful applicants will be notified through the issuance of a Notice of Award (NoA) that sets forth the amount of funds granted, the terms and conditions of the grant, the effective date of the grant, the budget period for which initial support will be given, the non-Federal share to be provided (if applicable), and the total project period for which support is contemplated. The NoA will be signed by the Grants Officer and transmitted via postal mail or email. Following the finalization of funding decisions, organizations whose applications will not be funded will be notified by letter signed by the cognizant Program Office head. Any other correspondence that announces to a Principal Investigator, or a Project Director, that an application was selected is not an authorization to begin performance.

Project costs that are incurred prior to the receipt of the NoA are at the recipient's risk and may be reimbursed only to the extent that they are considered allowable as approved pre-award costs. Information on allowable pre-award costs and the time period under which they may be incurred is available in *Section IV.5. Funding Restrictions*.

#### VI.2. Administrative and National Policy Requirements

Awards issued under this announcement are subject to the uniform administrative requirements and cost principles of 45 C.F.R. Part 74 (Awards And Subawards To Institutions Of Higher Education, Hospitals, Other Nonprofit Organizations, And Commercial Organizations) or 45 C.F.R. Part 92 (Grants And Cooperative Agreements To State, Local, And Tribal Governments). The Code of Federal Regulations (C.F.R.) is available at <a href="http://www.gpo.gov">http://www.gpo.gov</a>.

An application funded with the release of Federal funds through a grant award, does not constitute, or imply, compliance with Federal regulations. Funded organizations are responsible for ensuring that their activities comply with all applicable Federal regulations.

#### **Prohibition Against Profit**

Grantees are subject to the limitations set forth in 45 C.F.R. Part 74, Subpart E-Special Provisions for Awards to Commercial Organizations (45 C.F.R. Part 74.81\_Prohibition against profit), which states that, "... no HHS funds may be paid as profit to any recipient even if the recipient is a commercial organization. Profit is any amount in excess of allowable direct and indirect costs."

#### **Equal Treatment for Faith-Based Organizations**

Grantees are also subject to the requirements of 45 C.F.R. Part 87.1(c), Equal Treatment for Faith-Based Organizations, which says, "Organizations that receive direct financial assistance from the [Health and Human Services] Department under any Department program may not engage in inherently religious activities such as religious instruction, worship, or proselytization as part of the programs or services funded with direct financial assistance from the Department." Therefore, organizations must take steps to completely separate the presentation of any program with religious content from the presentation of the Federally funded program by time or location *in such a way that it is clear that the two programs are separate and distinct.* If separating the two programs by time but presenting them in the same location, one program must *completely* end before the other program begins.

A faith-based organization receiving HHS funds retains its independence from Federal, State, and local governments, and may continue to carry out its mission, including the definition, practice, and expression of its religious beliefs. For example, a faith-based organization may use space in its facilities to provide secular programs or services funded with Federal funds without removing religious art, icons, scriptures, or other religious symbols. In addition, a faith-based organization that receives Federal funds retains its authority over its internal governance, and it may retain religious terms in its organization's name, select its board members on a religious basis, and include religious references in its organization's mission statements and other governing documents in accordance with all program requirements, statutes, and other applicable requirements governing the conduct of HHS funded activities.

Regulations pertaining to the Equal Treatment for Faith-Based Organizations, which includes the prohibition against Federal funding of inherently religious activities, Understanding the Regulations Related to the Faith-Based and Neighborhood Partnerships Initiative" are available at <a href="http://www.hhs.gov/partnerships/about/regulations/">http://www.hhs.gov/partnerships/about/regulations/</a>. Additional information, resources, and tools for faith-based organizations is available through The Center for Faith-based and Neighborhood Partnerships website at <a href="http://www.hhs.gov/partnerships/index.html">http://www.hhs.gov/partnerships/index.html</a> and at the <a href="http://www.hhs.gov/partnerships/index.html">Administration for Children & Families: Toolkit for Faith-based and Community Organizations</a>.

#### Award Term and Condition under the Trafficking Victims Protection Act of 2000

Awards issued under this announcement are subject to the requirements of Section 106 (g) of the Trafficking Victims Protection Act of 2000, as amended (22 U.S.C. § 7104). For the full text of the award

term, go to <a href="http://www.acf.hhs.gov/grants/award\_term.html">http://www.acf.hhs.gov/grants/award\_term.html</a>. If you are unable to access this link, please contact the Grants Management Contact identified in Section VII. Agency Contacts of this announcement to obtain a copy of the Term.

#### **Requirements for Drug-Free Workplace**

The Drug-Free Workplace Act of 1988 (41 U.S.C. § 701 et seq.) requires that all organizations receiving grants from any Federal agency agree to maintain a drug-free workplace. By signing the application, the Authorizing Official agrees that the grantee will provide a drug-free workplace and will comply with the requirement to notify ACF if an employee is convicted of violating a criminal drug statute. Failure to comply with these requirements may be cause for debarment. Government wide requirements for Drug-Free Workplace for Financial Assistance are found in 2 C.F.R. part 182; HHS implementing regulations are set forth in 2 C.F.R. part 382.400. All recipients of ACF grant funds must comply with the requirements in Subpart B - Requirements for Recipients Other Than Individuals, 2 C.F.R. part 382.225. The rule is available atRequirements for Drug-Free Workplace.

#### **Debarment and Suspension**

HHS regulations published in 2 CFR part 376 implement the government-wide debarment and suspension system guidance (2 CFR part 180) for HHS' non-procurement programs and activities. "Non-procurement transactions" include, among other things, grants, cooperative agreements, scholarships, fellowships, and loans. ACF implements the HHS Debarment and Suspension regulations as a term and condition of award. Grantees may decide the method and frequency by which this determination is made and may check the Excluded Parties List System (EPLS) located at <a href="https://www.epls.gov/">https://www.epls.gov/</a>, although checking the EPLS is not required. More information is available at <a href="https://www.acf.hhs.gov/grants/grants/grants/">https://www.acf.hhs.gov/grants/grants/</a> resources.html.

#### **Pro-Children Act**

The Pro-Children Act of 2001, 42 U.S.C. §§ 7181 through 7184, imposes restrictions on smoking in facilities where federally funded children's services are provided. HHS grants are subject to these requirements only if they meet the Act's specified coverage. The Act specifies that smoking is prohibited in any indoor facility (owned, leased, or contracted for) used for the routine or regular provision of kindergarten, elementary, or secondary education or library services to children under the age of 18. In addition, smoking is prohibited in any indoor facility or portion of a facility (owned, leased, or contracted for) used for the routine or regular provision of federally funded health care, day care, or early childhood development, including Head Start services to children under the age of 18. The statutory prohibition also applies if such facilities are constructed, operated, or maintained with Federal funds. The statute does not apply to children's services provided in private residences, facilities funded solely by Medicare or Medicaid funds, portions of facilities used for inpatient drug or alcohol treatment, or facilities where WIC coupons are redeemed. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 per violation and/or the imposition of an administrative compliance order on the responsible entity.

#### **HHS Grants Policy Statement**

The HHS Grants Policy Statement (HHS GPS) is the Department of Health and Human Services' single policy guide for discretionary grants and cooperative agreements. ACF grant awards are subject to the requirements of the HHS GPS, which covers basic grants processes, standard terms and conditions, and points of contact, as well as important agency-specific requirements. Appendices to the HHS GPS include a glossary of terms and a list of standard abbreviations for ease of reference. The general terms and conditions in the HHS GPS will apply as indicated unless there are statutory, regulatory, or award-specific

requirements to the contrary that are specified in the Financial Assistance Award (FAA). The HHS GPS is available ahttp://www.acf.hhs.gov/grants/grants\_related.html.

#### VI.3. Reporting

Grantees under this announcement will be required to submit performance progress and financial reports periodically throughout the project period. The frequency of required reporting is listed later in this section. Final reports may be submitted in hard copy to the Grants Management Office Contact listed in *Section VII. Agency Contacts* of this announcement. Instructions on submission of reports electronically will be provided with award documents.

#### **Performance Progress Reports (PPR)**

ACF grantees are required to submit the SF-PPR Cover Page. ACF Programs that utilize reporting forms or formats in addition to, or instead of, the SF-PPR have listed the reporting requirements later in this section.

Grant award documents will inform grantees of the appropriate performance progress report form or format to use. Grantees should consult their award documents to determine the appropriate performance progress report format required under their award. Performance progress reports are due 30 days after the end of the reporting period.

Final program performance reports are due 90 days after the close of the project period. The SF-PPR may be found athttp://www.acf.hhs.gov/grants/grants\_resources.html.

#### Federal Financial Reports (FFR)

As of February 1, 2011, the Department of Health and Human Services (HHS) began the transition from use of the SF-269, Financial Status Report (Short Form or Long Form) to the use of the SF-425 Federal Financial Report for expenditure reporting. SF-269s will no longer be accepted for expenditure reports due after that date. If an SF-269 is submitted, the Administration for Children and Families (ACF) will return it and require the recipient to complete the SF-425.

The transition strategy is allowing individual HHS Operating Divisions to select--from a limited number of options--the approach that best fits their programs and business process. This transition does not affect completion or submission of the cash reporting to the HHS Division of Payment Management's Payment Management System (PMS). The primary features of this transition for recipients are that OPDIVs that previously required electronic submission of the SF-269 will receive the SF-425 expenditure reports electronically and, until further notice, OPDIVs that have been receiving expenditure reports in hard copy will continue to do so.

All expenditure reports will be due on one of the standard due dates by which cash reporting is required to be submitted to PMS OR at the end of a calendar quarter as determined by the Operating Division. As a result, a recipient that receives awards from more than one OPDIV may be subject to more than one approach, but will not be required to change its current means of submission or be subjected to more than eight standard due dates.

Beginning with budget periods which end from January 1 - March 31, 2011, and for <u>all</u> budget periods thereafter, all affected ACF grantees will be required to submit an SF-425 report as frequently as is required in the terms and conditions of their award using due dates for reports to PMS.

For budget periods ending in the months of: The FFR (SF-425) is due to ACF on:

January 01 through March 31

April 30

April 01 through June 30

July 30

July 01 through September 30

October 01 through December 31

January 30

Fillable versions of the SF-425 form in Adobe PDF and MS-Excel formats, along with instructions, are available at <a href="http://www.whitehouse.gov/omb/grants">http://www.whitehouse.gov/omb/grants</a> forms, <a href="http://www.whitehouse.gov/omb/grants">www.forms.gov</a>, and on the <a href="http://www.whitehouse.gov/omb/grants">ACF Funding</a> Opportunity website Forms page.

ACF has implemented the use of the SF-428 *Tangible Property Report* and the SF-429 *Real Property Status Report* for all grantees. Both standard forms are available at www.whitehouse.gov/omb/grants forms/.

Further instructions will be provided, as necessary, with award terms and conditions that will address specific reporting periods and due dates on an award-by-award basis. Additional information on frequency of reporting is available on the ACF Funding Opportunities web site at <a href="http://www.acf.hhs.gov/grants/msg\_sf425.html">http://www.acf.hhs.gov/grants/msg\_sf425.html</a>.

For planning purposes, reporting periods for awards made under this announcement are as follows:

Program Progress Reports: Semi-Annually Financial Reports: Semi-Annually

Awards issued as a result of this funding opportunity may be subject to the Transparency Act subaward and executive compensation reporting requirements of 2 C.F.R. Part 170. See ACF's <u>Award Term for Federal Financial Accountability and Transparency Act (FFATA) Subaward and Executive Compensation Reporting Requirement implementing this requirement and additional award applicability information.</u>

#### VII. Agency Contacts

#### **Program Office Contact**

Susan Benjamin

Administration for Children and Families

Office of Refugee Resettlement

Division of Community Resettlement

Aerospace Building, 8th Floor West

370 L'Enfant Promenade, SW

Washington, DC 20447

Phone: (202) 401-4851

Email: susan.benjamin@acf.hhs.gov

URL: http://www.acf.hhs.gov/programs/orr/

Daphne Weeden

Administration for Children and Families

Office of Grants Management

Division of Grants Management

370 L'Enfant Promenade, SW

6th Floor East

Washington, DC 20447 Phone: (202) 401-5513

Email: <u>Daphne.Weeden@acf.hhs.gov</u> URL: acfogme-grants@acf.hhs.gov

#### **Federal Relay Service:**

Hearing-impaired and speech-impaired callers may contact the Federal Relay Service for assistance at 1-800-877-8339 (**TTY** - Text Telephone or **ASCII** - American Standard Code For Information Interchange).

#### VIII. Other Information

#### **Reference Websites**

U.S. Department of Health and Human Services (HHS) on the Internet <a href="http://www.hhs.gov/">http://www.hhs.gov/</a>.

Administration for Children and Families (ACF) on the Internet <a href="http://www.acf.hhs.gov/">http://www.acf.hhs.gov/</a>.

Administration for Children and Families - ACF Funding Opportunities homepage <a href="http://www.acf.hhs.gov/grants/">http://www.acf.hhs.gov/grants/</a>.

Catalog of Federal Domestic Assistance (C.F.D.A.) https://www.cfda.gov/.

Code of Federal Regulations (C.F.R.) http://www.gpo.gov.

United States Code (U.S.C) http://www.gpoaccess.gov/uscode/.

All required Standard Forms, assurances, and certifications are available on the ACF Forms page at <a href="http://www.acf.hhs.gov/grants/grants">http://www.acf.hhs.gov/grants/grants</a> resources.html.

Grants.gov Forms Repository webpage

at http://www.grants.gov/agencies/aforms repository information.jsp.

Versions of other Standard Forms (SFs) are available on the Office of Management and Budget (OMB) Grants Management Forms web site at <a href="http://www.whitehouse.gov/omb/grants">http://www.whitehouse.gov/omb/grants</a> forms/.

For information regarding accessibility issues, visit the Grants.gov Accessibility Compliance Page at http://www07.grants.gov/aboutgrants/accessibility compliance.jsp

Sign up to receive notification of ACF Funding Opportunities at <a href="www.Grants.gov">www.Grants.gov</a> <a href="http://www.grants.gov/applicants/email">http://www.grants.gov/applicants/email</a> <a href="subscription.jsp">subscription.jsp</a>.

## **Application Checklist**

Applicants may use the checklist below as a guide when preparing your application package.

What to Submit	Where Found	When to Submit
Project Summary/Abstract	Referenced in <i>Section IV.2. The Project Description</i> of the announcement. It is an element of the Project Description and will be counted in page limitations that are stated in <i>Section IV.2. Formatting Requirements</i> .	Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.3. Submission Dates and Times</i> .
Table of Contents	Referenced in Section IV.2. The Project Description. This is an element of the Project Description and will usually be counted in page limitations listed in Section IV.2. Formatting Requirements.	Submission is due as part of the Project Description by the application due date found in the <i>Overview</i> and in <i>Section IV.3. Submission Dates and Times</i> .
SF-424 - Application for Federal Assistance and SF-P/PSL - Project/Performance Site Location(s)	Referenced in <i>Section IV.2</i> . and found at <a href="http://www.acf.hhs.gov/grants/grants">http://www.acf.hhs.gov/grants/grants</a> resources.html and at the Grants.gov Forms Repository at <a href="http://www.grants.gov/agencies/aforms">http://www.grants.gov/agencies/aforms</a> repository information.jsp.	Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.3. Submission Dates and Times</i> .
SF-424A - Budget Information - Non-Construction Programs and SF-424B - Assurances - Non-Construction Programs	Referenced in <i>Section IV.2</i> . and found at <a href="http://www.acf.hhs.gov/grants/grants">http://www.acf.hhs.gov/grants/grants</a> resources.html.	Submission is due by the application due date found in the <i>Overview</i> and in <i>Section IV.3. Submission Dates and Times</i> .
DUNS Number (Universal Identifier) and Central Contractor Registration (CCR)	Referenced in <i>Section III.3</i> . of the announcement. Go to <a href="http://fedgov.dnb.com/webform">http://fedgov.dnb.com/webform</a> and <a href="http://www.ccr.gov">www.ccr.gov</a> to register.	Required for all applicants. <i>Note:</i> CCR registration is required for electronic application submission and must be maintained throughout the project period.

# The Project Budget and Budget Justification

Referenced in Section IV.2. The Project Budget and Budget
Justification of the announcement.

Submission of the Project Budget is required on the appropriate Standard Form (424A or 424C). The Budget Justification is a separate document that may be no longer than 10 pages and is due by the application due date found in the *Overview* and in *Section IV.3. Submission Dates and Times*.

#### **The Project Description**

Referenced in *Section IV.2. The Project Description*. This is the title for the project narrative that describes the applicant's plan for the project.

Submission is due by the application due date found in the *Overview* and in *Section IV.3. Submission Dates and Times*.

# Executive Order 12372, "Intergovernmental Review of Federal Programs,"

and

45 CFR Part 100,
"Intergovernmental Review of
Department of Health and
Human Services Programs
and Activities"

Applicants should go to the following URL for the official list of the jurisdictions that have elected to participate in E.O. 12372 <a href="http://www.whitehouse.gov/">http://www.whitehouse.gov/</a>/omb/grants spoc/ as indicated in Section IV.4. Intergovernmental Review of this announcement. The Executive Order and CFR require that applicants submit all required application materials to their State Single Point of Contact (SPOC) and indicate the date of submission on the Standard Form (SF) 424 at item

Submission of application materials is due to SPOC by the application due date listed in the *Overview* and in *Section IV.3*. *Submission Dates and Times*.

# SF-LLL - Disclosure of Lobbying Activities

"Disclosure Form to Report Lobbying" is referenced in *Section IV.2*. and found at <a href="http://www.acf.hhs.gov/grants/grants/grants/esources.html">http://www.acf.hhs.gov/grants/gra

Submission of this form is required if any funds have been paid, or will be paid, to any person for influencing, or attempting to influence, an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this commitment If submission of this form is applicable, it is due prior at the time of application. It may also be submitted prior to the award of a grant.

	providing for the United States to insure or guarantee a loan.	
Third-Party Agreements	Referenced in Section IV.2. of the announcement under "Project Description."	If available, submission is due by the application due date found in the Overview and in Section IV.3. If not available at the time of application submission, due by the time of award.
Certification Regarding Lobbying	Referenced in <i>Section IV.2.</i> of the announcement and found at <a href="http://www.acf.hhs.gov/grants/grants">http://www.acf.hhs.gov/grants/grants</a> resources.html.	Submission is due with the application package. If it is not submitted with the application package, it may also be submitted prior to the award of a grant.
Letters of Support	Referenced in Section IV.2. The Project Description. This is an element of the Project Description and may count again page limitations set in Section IV.2. Formatting Requirements.	Submission is due by the application due date listed in the Overview and in <i>Section IV.3. Submission Dates and Ti</i> mes.
Logic Model	Referenced in Section IV.2. The Project Description of the announcement. It is an element of the Project Description and will be counted in page limitations that are stated in Section IV.2. Formatting Requirements.	Submission is due with the application package by the application due date found in the <i>Overview</i> and in <i>Section IV.3. Submission Dates and Times</i> .

## Appendices